

01/09/02

Jc963

U.S. PRO

01-11-02

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

5669

First Inventor

Matthew I. Egbe

Title

AQUEOUS STRIPPING AND CLEANING
COMPOSITION

Express Mail Label No.

EL471563779US

Jc963 U.S. PRO
01/09/02**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages (preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets

Oath or Declaration [Total Pages

a. ☐ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)

b. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____ / _____

Prior application information:

Examiner: _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Label

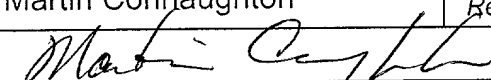
(Insert Customer No. or Attach bar code label here)

or



Correspondence address below

| | | | | | |
|---------|--------------------|-----------|--------------|----------|--------------|
| Name | Martin Connaughton | | | | |
| Address | Ashland Inc. | | | | |
| | P. O. Box 2219 | | | | |
| City | Columbus | State | Ohio | Zip Code | 43216 |
| Country | US | Telephone | 614-790-4684 | Fax | 614-790-4268 |

| | | | |
|-------------------|---|-----------------------------------|------------|
| Name (Print/Type) | Martin Connaughton | Registration No. (Attorney/Agent) | 33857 |
| Signature |  | Date | 01/09/2002 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on
the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC
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FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 740.00

Complete if Known

| | |
|----------------------|-----------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | Matthew I. Egbe |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | 5669 |

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

| | |
|------------------------|----------------------|
| Deposit Account Number | 01-2530 |
| Deposit Account Name | Ashland Chemical Co. |

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 101 | 740 | 201 | 370 | Utility filing fee | 740.00 |
| 106 | 330 | 206 | 165 | Design filing fee | |
| 107 | 510 | 207 | 255 | Plant filing fee | |
| 108 | 740 | 208 | 370 | Reissue filing fee | |
| 114 | 160 | 214 | 80 | Provisional filing fee | |

SUBTOTAL (1) (\$ 740.00

2. EXTRA CLAIM FEES

| Total Claims | | Extra Claims | Fee from below | Fee Paid |
|--------------------|---------|--------------|----------------|----------|
| 12 | -20** = | | X | |
| 3 | -3** = | | X | |
| Independent Claims | | | | |
| Multiple Dependent | | | | |

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|--|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid |
| 109 | 84 | 209 | 42 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 740.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 400 | 216 | 200 | Extension for reply within second month | |
| 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 | Design issue fee | |
| 144 | 620 | 244 | 310 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 740.00

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|--------------------|-----------------------------------|------------|-----------|--------------|
| Name (Print/Type) | Martin Connaughton | Registration No. (Attorney/Agent) | 33857 | Telephone | 614-790-4684 |
| Signature | | Date | 01/09/2002 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Matthew I. Egbe and Darryl W. Peters
Serial No.: Not assigned Examiner: Unknown
Filed: Herewith Docket No.: 5669
Title: AQUEOUS STRIPPING AND CLEANING COMPOSITION

NEW APPLICATION TRANSMITTAL

Via Express Mail - No. EL471563779 US

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Sir:

Enclosed is a patent application as entitled above with a "CERTIFICATE OF MAILING BY EXPRESS MAIL" attached to the first page of the application. The application includes a Specification (7 pages), Claims (2 pages) and Abstract (1 page). This application is transmitted without the declaration of the inventors. This item will be filed along with the requisite surcharge after receipt of the notification pursuant to 37 C.F.R. § 1.53. The inventors who authorized the filing of this application are as follows:

Matthew I. Egbe
122 Flannery Drive
West Norriton, PA 19403

Darryl W. Peters
111 Kennedy Mill Road
Stewartsville, NJ 08886

Both are citizens of the United States of America.

Please address all correspondence to the undersigned at the address below.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Martin Connaughton". The signature is fluid and cursive, with the first name "Martin" and last name "Connaughton" clearly distinguishable.

Martin Connaughton, Attorney
Registration No. 33857

Phone: (614) 790-4684
Ashland Inc.
P.O. Box 2219
Columbus, Ohio 43216
mconnaughton@ashland.com
Date: January 9, 2002

20060707 09:23:00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Mathew I. Egbe, et al.

Serial No.: unknown Examiner: unknown

Filed: herewith Docket No.: 5669

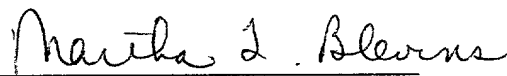
Title: AQUEOUS STRIPPING AND CLEANING COMPOSITION

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

CERTIFICATE OF MAILING BY EXPRESS MAIL

"Express Mail" mailing label number: EL471563779 US
Date of Deposit: January 9, 2002

I hereby certify that this original patent application which includes a Specification (7 pages), Claims (2 pages) and Abstract (1 page) is being deposited with the United States Postal Service as "Express Mail Post Office To Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to the Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.


Martha L. Blevins

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